



UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/075,335	02/14/2002	Stephen D. Ginsberg	HO-P02202US2 (10104289 /

CONFIRMATION NO. 8704

FORMALITIES LETTER



\*OC000000007971611\*

26271  
FULBRIGHT & JAWORSKI, LLP  
1301 MCKINNEY  
SUITE 5100  
HOUSTON, TX 77010-3095

Date Mailed: 04/29/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.
  - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after

07/10/2002 ANABI1 00000085 10075335

65.00 DP


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**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

07/02/02

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Boxes 1/4/01

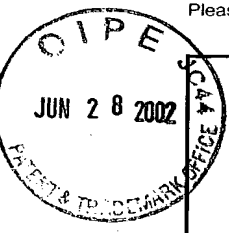
Please type a plus sign inside this box 

PTO/SB/21 (05-00)

Approved for use through 10/31/2002. OMB 0657-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

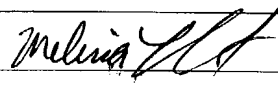
Application Number	10/075,335
Filing Date	February 14, 2002
First Named Inventor	Stephen D. Ginsberg
Group Art Unit	N/A
Examiner Name	Not Yet Assigned
Attorney Docket Number	HO-P02202US2

Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Declaration with ADS; Copy of Notice dated 4/29/02 Sequence Listing - Paper Copy Sequence Listing CRF (Computer Readable Form) Check for \$65.00 and postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	FULBRIGHT & JAWORSKI L.L.P. Melissa L. Sistrunk
Signature	
Date	June 28, 2002

## Transmittal

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EU186313099US, in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: June 28, 2002

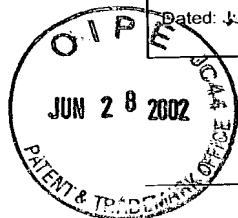
Signature:  (Monica L. Thomas)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EU186313099US, in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: June 28, 2002

Signature: Monica L. Thomas  
(Monica L. Thomas)

Docket No.: HO-P02202US2  
(PATENT)



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Stephen D. Ginsberg, et al.

Application No.: 10/075,335

Group Art Unit: N/A

Filed: February 14, 2002

Examiner: Not Yet Assigned

For: METHODS AND COMPOSITIONS OF  
AMPLIFYING RNA

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

**Box Missing Parts**  
Commissioner for Patents  
Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application mailed April 29, 2002, Applicant respectfully submits a Declaration, Formal Drawings (17 sheets), a Sequence Listing - Paper Copy, and CRF (Computer Readable Form), and a Preliminary Amendment.

Our check in the amount of \$65.00 covering the fee set forth in 37 CFR 1.16(e) is enclosed. The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 06-2375, under Order No. 10104289. A duplicate copy of this paper is enclosed.

Dated: June 28, 2002

Respectfully submitted,

By Melissa L. Sistrunk  
Melissa L. Sistrunk  
Registration No.: 45,579  
FULBRIGHT & JAWORSKI L.L.P.  
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Attorneys for Applicant



Docket No.: HO-P02202US2  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Stephen D. Ginsberg, et al.

Application No.: 10/075,335

Group Art Unit: N/A

Filed: February 14, 2002

Examiner: Not Yet Assigned

For: METHODS AND COMPOSITIONS OF  
AMPLIFYING RNA

**TRANSMITTAL OF INITIAL SEQUENCE LISTING**

Box Sequence  
Commissioner for Patents  
Washington, DC 20231

Dear Sir:

This is in response to the Notice to File Missing Parts of Nonprovisional Application mailed on April 29, 2002. Applicants are submitting their response herewith within the response period set to expire on June 29, 2002.

Enclosed are the following:

1. Initial paper copy of sequence listing;
2. Initial computer readable form copy of sequence listing;
3. Copy of Notice dated April 29, 2002; and
4. Postcard

Applicants respectfully request the entry of the present statement in regard to the enclosed sequence listing in the above-referenced application.

Applicants state the information recorded in the computer readable form copy of the sequence listing is identical to the paper copy of the sequence listing.

Application No.: 10/075,335

Docket No.: HO-P02202US2

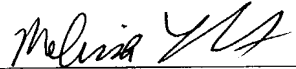
Applicants also state that the submission does not include new matter.

Applicants respectfully request the above-referenced patent application be placed upon the files for examination.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 06-2375, under Order No. 10104289. A duplicate copy of this paper is enclosed.

Dated: June 28, 2002

Respectfully submitted,

By 

Melissa L. Sistrunk

Registration No.: 45,579

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